

# Department of Energy (DOE) Energy Assessment Process Manual

Version 1.9 (March 31, 2017)

This manual was developed jointly by Lawrence Berkeley National Laboratory (LBNL) and ANCIS Incorporated for the U.S. Department of Energy (DOE)



www.ancis.us

#### DISCLAIMER

This document was prepared as part of work sponsored by the United States Government. While this document is believed to contain correct information, neither the United States Government, nor any agency thereof, nor The Regents of the University of California, operator of the Lawrence Berkeley National Laboratory under DOE Contract No. DE-AC02-05CH11231, nor any of their employees or licensors, make any warranty, express or implied, or assumes any legal responsibility for the accuracy, completeness, or usefulness of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately owned rights. Reference herein to any specific commercial product, process, or service by its trade name, trademark, manufacturer, or otherwise does not necessarily constitute or imply its endorsement, recommendation, or favoring by the United States Government or any agency thereof, or The Regents of the University of California. The views and opinions of the authors expressed herein do not necessarily state or reflect those of the United States Government or any agency thereof or The Regents of the University of California. The materials contained in this document are being provided for training purposes only, and may not be reproduced by any means; including electronic, mechanical photocopying or recording, except as may be expressly permitted by the 1976 Copyright Act or with the prior written permission of The Regents of the University of California. Distribution for commercial purposes, and/or the preparation and dissemination of derivative works based on these materials is strictly prohibited.

Notice: Materials contained in this document were produced under Contract DE-AC02-05CH11231 with the U.S. Department of Energy. Accordingly, the Government has certain rights in this copyrighted work.

Copyright© 2017 The Regents of the University of California, operator of the Lawrence Berkeley National Laboratory. All Rights Reserved.

# TABLE OF CONTENTS

| 1. INTRODUCTION   | 4  |
|---|----|
| 1.1 Energy in Data Centers  | 4  |
| 1.2 Role of DOE Energy Assessments in the Process to Implement Efficiency | 4  |
| 1.3 Purpose of this Process Manual and Other Resources                    | 5  |
| 2. PROCESS FOR DOE ENERGY ASSESSMENTS                                     | 6  |
| 2.1 Phase 1: Assessment Initiation  | 8  |
| 2.2 Phase 2: Pre-Assessment Preparation                                   | 9  |
| 2.3 Phase 3: Assessment Onsite Activities                                 | 11 |
| 2.4 Phase 4: Assessment Post-Onsite Activities                            | 13 |
| APPENDICES  |    |
| A: Terminology  | 15 |
| Templates for Assessment Report   |    |
| B: General Information  | 16 |
| C: Site Description Survey  | 17 |
| D: Energy Management Practices Survey                                     | 18 |
| E: Target Systems List  | 20 |
| F: Summary Energy Savings   | 20 |
| G: Detailed Energy Savings  | 21 |
| H: Identified Actions (Recommendations)                                   | 22 |
| Templates for Attendance Report   |    |
| I: Assessment Participant (attendance) List                               | 23 |
| J: Assessment Evaluation Summary  | 24 |
|   |    |

# RESOURCES

25

# 1 INTRODUCTION

# **1.1 Energy in Data Centers**

Data centers are dynamic and energy-intensive facilities. However, the rapid rate of growth in data center electricity use that prevailed from 2000 to 2006 slowed significantly from 2006 to 2016, yielding total electricity use by data centers in 2016 of about 2% of all electricity used in the US. Figure 1 below shows the historic (black curve) and in 2006 projected (colored curves) electric energy use in data centers.

The slower growth was driven by energy efficiency improvements and a lower server installed base (Great Recession). Nevertheless, the total energy savings potential in data centers is large, and energy assessments are an effective way to realize those savings.



Figure 1: Historical energy use (black curve) and future energy-use projections in 2006.

# 1.2 Role of DOE Energy Assessments in the Process to Implement Efficiency

Although the DOE Energy Assessment is not meant to be a traditional investment-grade audit, the assessment is designed to provide the data center industry with immediate, tangible, and sustainable results such as energy, economic, and environmental savings. For best results, it is recommended that the individual conducting the Energy Assessment (Assessor) is a trained Data Center Energy Practitioner (DCEP): <u>datacenters.lbl.gov/dcep</u>.

A key outcome of the DOE assessment is the development of an action plan for progress. There are two main objectives with the DOE Energy Assessment strategy.

First, accelerate energy savings through assessments by developing an energy profile with the internal data center team, performing reviews of select data center systems, identifying a list of potential energy saving measures, estimating associated cost and energy savings, and documenting cost and energy savings from measures implemented.

Second, multiply savings through replication by building internal awareness and expertise. Specifically help the organization form an energy management program, create internal champions to lead energy savings efforts, and train the Site Lead in the DOE Energy Assessment Process and the use of the DOE Tool Suite.

The role of the DOE Energy Assessments in the overall energy-efficiency process and how the private sector consultants fit in are depicted in Figure 2. The top two (blue) blocks represent the DOE Energy Assessments (high-level profiling and in-depth system assessments). The next three (brown) blocks describe work by private sector consultants. Lastly, the last two (green) blocks represent savings validation and documentation by site personnel and engineering firms.



Energy Assessments, which include high-level profiling and in-depth subsystem assessments, are conducted by owners and engineering firms. DOE Tools provide uniform metrics and approach.

Audits, design, and implementation of energy efficiency measures are performed by engineering firms and contractors.

Measurement and verification (M&V) is conducted by site personnel and engineering firms to confirm and track performance. M&V is key for continuous improvement.

Figure 2: Overall Process for Saving Energy in Data Centers

# 1.3 Purpose of this Process Manual and Other Resources

This Process Manual provides administrative step-by-step instructions for conducting a DOE Energy Assessment before, during, and after the onsite assessment. Multiple appendices include useful templates for the assessments. Assessment resources and their descriptions are listed in Table 1. In addition, Appendix A provides useful terminology.

| Documents and Tools                              | Description                                  |  |  |
|--|--|--|--|
| DOE Energy Assessment Process Manual (this       | Administrative step-by-step instructions for |  |  |
| document)  | conducting an Energy Assessment before,      |  |  |
|  | during, and after the onsite assessment.     |  |  |
| DCEP Training PDF Slides (if DCEP)               | Program training curriculum                  |  |  |
| Data Center Profiler (DC Pro) Tools              | Two "early stage" scoping tools to           |  |  |
| DC Pro and the PUE Estimator                     | estimate Power Usage Effectiveness (PUE)     |  |  |
| <ul> <li>Full Lists of Questions</li> </ul>      | without sub-metering. The DC Pro Tools,      |  |  |
| <ul> <li>User's Manuals</li> </ul>               | which include DC Pro and the simplified      |  |  |
| <ul> <li>Calculation Reference Guide</li> </ul>  | PUE Estimator, are free, web-based, and      |  |  |
| <ul> <li>Training Presentation</li> </ul>        | confidential. DC Pro provides potential PUE  |  |  |
|  | and tailored recommendations for             |  |  |
| https://datacenters.lbl.gov/dcpro                | improvement while the PUE Estimator only     |  |  |
|  | asks questions required to estimate PUE.     |  |  |
| Air Management Tool                              | Excel-based tool that provides air           |  |  |
| User's Manual                                    | management recommendations including         |  |  |
| Data Collection Guide                            | reducing the supply airflow rate and         |  |  |
| Engineering Reference                            | increasing the supply air temperature        |  |  |
|  | without affecting the thermal environment.   |  |  |
| https://datacenters.lbl.gov/tools/5-data-center- | The Air Management Tool also estimates %     |  |  |
| air-management-tool-featured                     | energy reduction, kWh reduction, and         |  |  |
|  | associated \$ savings for fans and chillers. |  |  |
| Data Center Master List of Energy Efficiency     | Comprehensive list of recommended            |  |  |
| Actions  | efficiency actions. The Master List also     |  |  |
| https://datacenters.lbl.gov/resources/data-      | provides DC Pro with its tailored            |  |  |
| center-master-list-energy                        | recommendations for improvement.             |  |  |
| Assessment Worksheets                            | Excel template that can be used to collect   |  |  |
| https://datacenters.lbl.gov/tools/3-energy-      | data as well as document measurements,       |  |  |
| assessment-worksheets                            | metrics, and actions from the Assessment.    |  |  |
|  | The Worksheets follow the same structure     |  |  |
|  | as the Assessment Report Template.           |  |  |
| Energy Efficiency Assessment Report Template     | Word template to report Assessment           |  |  |
| and Example Report                               | findings as well as a real-word example.     |  |  |
| https://datacenters.lbl.gov/tools/7-energy-      | The Report Template can easily be filled in  |  |  |
| efficiency-assessment-report                     | with actual site data.                       |  |  |
|  |  |  |  |

# 2 PROCESS FOR DOE ENERGY ASSESSMENTS

Table 2 provides an overview of the ten assessment process steps outlined in this document as well as the available resources. The assessment process is broken down into four phases: Assessment Initiation (faint red), Pre-Onsite Preparation (faint blue), Onsite Activities (faint green), and Post-Onsite Activities (faint orange). The text sections following the table provide details on each of these process steps. Table 2 is written for a third-party assessor. For an in-house assessor, the difference between on-site and off-site becomes moot.

| #        | Process Step Description   | Available Resources            |
|----------|--|--------------------------------|
| 1        | Phase 1: Assessment Initiation   |                                |
|          | Introduce the DOE Assessment process. Identify   |                                |
|          | preliminary goals, scope, onsite activities, and key   |                                |
|          | personnel. Arrange for onsite logistics.   |                                |
| 2        | Preliminary assessment by Site Lead using DC Pro or  | * DC Pro Tools                 |
|          | the PUE Estimator.   |                                |
| 3        | Phase 2: Pre-Onsite Preparation  | * DC Pro Tools results         |
|          | Kick-off conference call   | * Target System List (App. E)  |
|          | - Review scope, onsite activities, team, and logistics                                       | * Air Management Tool          |
|          | - Identify target systems, tools, and data to collect  |                                |
|          | - Identify safety issues.  |                                |
| 4        | Off-site compilation of information  | * Assessment Worksheets        |
|          | - Collect technical info from drawings, logs, etc.   | * Site Survey (App. C)         |
|          | - Collect Site Description Survey  | * Energy Management Practices  |
|          | - Collect Energy Management Practices Survey   | Survey (App. D)                |
|          | - Review required measurement equipment  |                                |
|          | - Ensure functioning of the DOE Tools onsite   |                                |
|          | - Review Safety, Health, and Environmental Training  |                                |
|          | - Review confidentiality agreements.   |                                |
| 5        | Phase 3: Onsite Activities (2-3 days per System)   | * Assessment Participants      |
|          | Initiation onsite meeting with all stakeholders:   | Template (App. I)              |
|          | - Collect participant list   |                                |
|          | - Overview presentation by the Assessor  |                                |
|          | - Safety, health, and environmental training   |                                |
|          | - Site tour of data center   |                                |
|          | - Develop detailed work plan (measurements)  |                                |
| 6        | - Assign roles and responsibilities<br>Training to allow replication (mainly part of Step 7) | * DC Pro Tools                 |
| 0        | - Training on DOE Tools  | * Air Management Tool          |
|          | - Energy management best practices   | All Management 100             |
| 7        | Fundamental investigations   | * Air Management Tool          |
| <i>'</i> | - Field measurements   | * Assessment Worksheets        |
|          | - System modeling with the Air Management Tool   | Assessment worksheets          |
|          | - Assessment Worksheets  |                                |
|          | - Results compilation and presentation   |                                |
| 8        | Preliminary Findings Meeting   | * Evaluation Template (App. J) |
| Ŭ        | - Directed to Site Management  |                                |
|          | - Complete Assessment Evaluation   |                                |
| 9        | Phase 4: Post-Onsite Activities  | * Process Manual (this doc)    |
| Ĩ        | Compilation of Assessment Report   | * Assessment Report Template   |
|          | - Observations and opportunities   | and Example Report             |
|          | - Estimated energy savings for each opportunity  | * Assessment Worksheets        |
|          | - Estimated costs for implementing each opportunity  | * Air Management Tool          |
|          |  | * DC Pro Tools                 |
|          |  | * Master List of Actions       |
|          |  | * Any complete surveys and     |
|          |  | templates (App. B-J)           |
| 10       | Finalize reporting requirements + Follow up  | Same as above                  |
|          | - Draft to site for review, document savings   |                                |
|          | Brancio site for review, document savings  |                                |

# Table 2: Overview of Process Steps

# 2.1 Phase 1: Assessment Initiation (Process Steps 1-2)

The Assessor contacts the Initial Site Contact to identify preliminary scope, onsite activities, key personnel, and onsite logistics including approximate timing of the Energy Assessment. The Initial Site Contact may not be the Site Lead, who is the onsite technical representative who will be the primary person participating in the Assessment.

# Step 1: Establish Preliminary Scope and Onsite Activities

The preliminary scope of the Energy Assessment should be established in this initiation phase and be refined in the preparation phase (the next phase). Sharing this Process Manual with the Site Lead may not only help communicate the overall Assessment process but also establish the scope and onsite activities. The ultimate goal of Energy Assessments is to provide the site with trained staff that can effectively apply the DOE Tool Suite and energy management principles to investigate systems in other data centers.

# **Identify Site Lead**

A primary point of the assessment initiation is to identify the Site Lead. This person must:

- understand the nature of the Energy Assessment
- be knowledgeable about the data center systems
- have contact with system operations and maintenance personnel
- be fully devoted to the Energy Assessment during the onsite period
- be responsible for learning the DOE Tool Suite
- be able to replicate identified system analyses.

# **Identify Assessment Team**

The personnel who will be participating in the Energy Assessment need to be identified:

- Devoted Onsite Assessment Personnel (Assessment Lead Team)
  - Assessor
  - Site Lead (the primary person participating in the assessment)
  - Other core personnel participating in the assessment.
- As-needed Personnel
  - System Operations/Maintenance Staff
  - Technical Support Staff
  - Management must participate in the Initiation Onsite Meeting (Step 5) and the Preliminary Findings Meeting (Step 8).

# Arrange for Logistics

The onsite logistics tasks include the following:

- Solidify energy assessment timing; the total number of days on site depends on the number of system analyses (typically 2-3 days per Primary System)
- Arrange gate-pass for the Assessor
- Identify a conference room that can serve as an uninterrupted base
- Identify lodging issues
- Consider food services onsite to minimize lost time.

# Step 2: Preliminary Assessment

The Site Lead should do a preliminary online assessment using one of the DC Pro Tools, based on available data. The Assessor will be available (off site) to assist. Besides providing an energy profile of the data center, this preliminary assessment will help with the Target Systems identification in the next assessment phase (Step 3).

# 2.2 Phase 2: Pre-Onsite Preparation (Process Steps 3-4)

# Step 3: Kick-off Conference Call

The kick off conference call serves the purpose to ensure that scope, onsite activities, key personnel, and onsite logistics have been identified. Other purposes are to identify the data center systems to be included in the energy assessment, technical information to be collected prior to the site visit (Step 4), and safety issues.

# Target System Identification (see Appendix E)

- The activities are driven from the Target System List; data center systems targeted for the Assessment. Do not allow one system to dominate the Assessment. The preliminary offsite assessment with one of the DC Pro Tools (Step 2) should provide guidance.
- The Primary Systems consist of the following three systems:
  - IT-Equipment
  - HVAC Systems (Cooling and Air Management)
  - Electrical Systems.

# Step 4: Off-Site Compilation of Information

Collect technical information and data from surveys, drawings, logs, etc. Enter the information into the Air Management Tool or Assessment Worksheets. The Worksheets can later be used to document measurements, metrics, and actions from the Assessment (Step 7).

# Site Description Survey (see Appendix C)

If one of the DC Pro Tools has not been used or more information is needed, request the Site Lead to complete the Site Description Survey to identify the *general* characteristics of the data center. See Appendix C.

- Principal data center description
  - Size
  - Type of data center (enterprise, collocation, telecom, etc.)
  - Geographic location
  - Fuel, fuel costs, and fuel unit cost.
- Primary System description
  - Type and number of equipment/systems
  - Redundancy
  - Control strategies
  - Power requirements and fuel types
  - Operating characteristics, normal operating conditions.

# Energy Management Practices Survey (see Appendix D)

If one of the DC Pro Tools has not been used or more information is needed, also request the Site Lead to complete the Energy Management Practices Survey, see Appendix D. This survey provides high-level information on energy policies implemented at the data center.

# Required Measurement Equipment

The Assessor should review the collected data and determine the existence of any data gaps for which onsite measurements or other collection methods may be necessary. Identify the measurement equipment the Assessor must bring to the site. Examples include equipment for measuring:

- Fluid temperature
- Air Humidity
- Fluid flow
- Electrical power.

Questions to ask the Site Lead include the following:

- Are there needs for special measurement equipment?
- Are there stationary sensors?
- What does the control system report?

# Functioning Onsite DOE Tools

Ensure the DOE Tools are working on a computer available to the Site Lead:

- The Site Lead should explore the DOE Tools as a preparatory exercise
- The Air Management Tool should also be downloaded and brought to the Energy Assessment by the Assessor in a form that allows easy access by the team (e.g., memory stick).

# Review Safety, Health, and Environmental Issues and Training

Identify site-specific safety, health, and environmental issues/training:

- Identify personal protective equipment the Assessor will be required to bring
- Identify personal protective equipment the Site Lead will provide
- Identify any "special requirements"—if any
- Determine the safety, health, and environmental training requirements
- Establish a tentative schedule for safety, health, and environmental training
- Perform the training the first day of the onsite activities
- Training must be completed *and* documented before onsite activities.

# Agreements

The Site Lead must provide the Assessor with the appropriate confidentiality agreements:

- Ensure the agreements are understood
- They must be reviewed, signed, and returned prior to entering the site
- The Assessor is the entity entering the agreements.

# 2.3 Phase 3: Assessment Onsite Activities (Process Steps 5-8)

Typically, the onsite activities take about 2-3 days per Primary System.

## **Step 5: Initiation Onsite Meeting**

Begin the onsite activities with an initiation meeting with all stakeholders to review the assessment scope and onsite activities and to introduce the Assessor to the Lead Team.

# Collect Participant List (see Appendix I)

Document the following participant information:

- Name and title
- Company
- Address
- Phone number and email address.

## **Overview Presentation by the Assessor**

The event is an Energy *Training*-Assessment in that the Assessment Lead Team should be active participant in the Assessment. Creating the ability for replication is a key goal of the Energy Assessment.

- The general framework of the Energy Assessment should be established.
  - Assessment scope
  - Onsite activities
  - Role of the Assessor
  - DOE Tools
  - Field measurement
  - Roadmap development
  - Preliminary Findings Meeting
  - Post-assessment activities.
- The Energy Assessment is not a fault-finding activity but an activity that is designed to:
  - Share knowledge
  - Provide tools
  - Teach energy management best practices
  - Identify opportunities for improvement
  - Identify opportunities for replication.

#### Safety, Health, and Environmental Training

Perform the safety, health, and environmental training identified in Step 4.

# <u>Site Tour</u>

Conduct a brief site tour to familiarize the Assessor with the data center facility. This is an excellent opportunity to ask and answer questions.

## **Develop Detailed Work Plan**

Develop a detailed work plan by agreeing on potential energy efficiency opportunities to investigate, metrics to be analyzed, and Measurement Plan to be implemented. The offsite compilation of information (Step 4) should provide an excellent starting point for establishing required field measurements. The actual measurements are taking place during the Fundamental Investigations (Step 7).

## Assign Roles and Responsibilities

With the Site Lead, assign roles and responsibilities among the members of the Assessment Team according to the Detailed Work Plan.

# Step 6: Training of Lead Team to Allow Replication

# DOE Tool Suite (DC Pro Tools and the Air Management Tool)

While performing the onsite assessment (Step 7), the Assessor should train the Assessment Lead Team so that they sufficiently understand the DOE Tools, including the associated data collection of required input data. It is beneficial for the Site Lead to complete some of the analysis with the Assessor. At the end of the onsite assessment, the Site Lead should be able to replicate the investigations.

# **Energy Management Best Practices**

Identification of energy management best practices is an important part of a successful Assessment. While performing the onsite assessment (Step 7), the Assessor should highlight and transfer energy management best practice knowledge to the Lead Team. Understanding the overall energy assessment process as well as energy management best practices is an excellent replication vehicle.

# **Step 7: Fundamental Investigations**

This Step is where the rubber hits the road. First, the Lead Team collects the missing information based on the data gaps identified in Steps 4 and 5. Second, Air Management Tool modeling is performed to quantify potential energy opportunities. The Assessment Worksheets can be useful if a particular system tool is not available. Finally, the results are compiled into a presentation directed to the Site Management.

Some missing information (data) will be readily available, including data that can be collected from building management systems, equipment read-outs (e.g., UPS), operating information, and design data. Other data collection may require measurements and temporary metering. The assessment team could decide to use a design value in lieu of a measured value, or decide to use estimates rather than actual data.

# Field Measurements

Field measurements provide key input data to the Air Management Tool. The detailed Measurement Plan developed in Step 5 should be applied. Ensure that all measurements required for determining critical data are performed while on site. Measurement Plan modifications are likely as the fundamental investigations proceed.

# Air Management Tool Modeling

When the measurements are completed and other data have been collected, the system modeling with the Air Management Tool should be performed to quantify potential energy opportunities. At this point, there should be no surprises regarding required Tool input data if the process outlined above was followed.

## Assessment Worksheets

The Assessment Worksheets can be useful if a particular system tool is not available. The Worksheets cannot only be used for collecting data (Step 4) but also for documenting measurements, metrics, and actions from the assessment. Data from the one of the DC Pro Tools (Step 2) can be used as a starting point for the more detailed assessment.

## **Results Compilation and Presentation**

The assessment results are compiled into a brief PowerPoint presentation. Preparation for the Preliminary Findings Meeting should also include discussions of presentation points with the Assessment Lead Team.

- Preliminary findings must be accepted by the Site Lead before the Meeting
  - Opportunities
  - Best practices
  - Roadmap (action plan).
- Provide a presentation with no surprises
- This should not be a fault finding presentation.

# **Step 8: Preliminary Findings Meeting**

The Preliminary Findings Meeting is directed to Site Management, the personnel to whom the Site Lead wishes to communicate the findings.

- Present real and tangible energy-efficiency opportunities
- Identify and promote energy management best practices
- Assessment Lead Team presents the Roadmap
- Modify recommendations based on information attained during the Meeting.

# Assessment Evaluation Summary (see Appendix J)

The Site Lead and other participants should complete an Assessment Evaluation prior to the conclusion of the onsite activities.

# 2.4 Phase 4: Post-Onsite Activities (Process Steps 9-10)

The post-onsite activities are initiated by compiling the Assessment Report and the Attendance and Evaluation Report. The Assessment Report is submitted to the Site Lead. The Assessor revises the draft to the satisfaction of the Site Lead. The result is the final Assessment Report.

# Step 9: Compilation of Assessment and Attendance Reports

# Compilation of Assessment Report (see Assessment Report Template and Example Report).

If collected, the following documents should be included in the Appendix of the Assessment Report:

- Site Description Survey (see Appendix C)
- Energy Management Practices Survey (see Appendix D)
- Target Systems List (see Appendix E)
- Attendance Report (see Appendix I)
- Evaluation Report (see Appendix J).

# Potential Case Study

The Assessment Report should also discuss whether developing a case study from activities at the site is a possibility. Case studies are generally widely applicable, straightforward, and generally focus on an aspect of areas such as fundamental systems, energy management best practices, innovative solutions, and comprehensive management activities.

# **Step 10: Finalize Reporting Requirements**

- Submit the draft Assessment Report to the Site Lead ten business days after completion of the onsite work
- The Site Lead provides review comments on the draft to the Assessor
- The Assessor revises the draft to the satisfaction of the Site Lead. The result is the final Assessment Report.

# Follow Up

Follow up with the site to document implemented solutions and savings.

#### Appendix A: Terminology

#### Assessor

The energy expert assigned to complete the DOE Assessment; the expert serves as the facilitator for all activities and is usually a Data Center Energy Practitioner (DCEP).

#### DCOI

Data Center Optimization Initiative (DCOI) states that all tiered Federal data centers shall have at least one certified DCEP assigned to manage data center performance and continued optimization.

#### **DC Pro Tools**

DC Pro Tools include the DC Pro and the PUE Estimator

#### DOE

U.S. Department of Energy

#### **DOE Tool Suite**

DOE Tool Suite includes the DC Pro, the PUE Estimator, and the Air Management Tool

#### **Initial Site Contact**

Data center representative that serves as the initial contact

#### Lead Team

Core personnel participating in the Assessment, including Assessor and Site Lead

#### **Preliminary Findings Meeting**

This meeting is directed to Site Management

#### **Primary Systems**

- IT-Equipment
- HVAC Systems (Cooling and Air Management)
- Electrical Systems.

#### Site Lead

Data center technical representative that will be the primary person participating in the Assessment

#### Site Management

Data center personnel to whom the Site Lead wishes to communicate the findings

#### **Target Systems List**

Listing of data center systems targeted for the Assessment.

# Appendix B: General Information

| Data Center Owner               |  | Assessment Dates |  |  |  |
|---------------------------------|--|------------------|--|--|--|
| Facility Name                   |  | Assessment Type  |  |  |  |
| Location                        |  | Assessor Name    |  |  |  |
| Data Center Contact Information |  |                  |  |  |  |
| Name                            |  |                  |  |  |  |
| Address                         |  |                  |  |  |  |
| City/State                      |  |                  |  |  |  |
| Phone                           |  |                  |  |  |  |
| Email                           |  |                  |  |  |  |

# Appendix C: Site Description Survey

Request the Site Lead to complete the Site Description Survey to identify the *general* characteristics of the data center.

| Principal Data Center Description  |             |
|--|-------------|
| Total data center area   |             |
| Electrically active area   |             |
| Type (enterprise, collocation, telecom, etc.)  |             |
| Geographic location  |             |
| Annual fuel cost (\$) and fuel unit cost (\$/kWh)  | Electricity |
|  | Gas         |
|  | Oil         |
| Primary Systems Description  |             |
| <ul> <li>General description of key IT-equipment</li> <li>Type and number of equipment</li> <li>Power requirements</li> <li>Operating characteristics</li> <li>Nominal operating conditions.</li> </ul>  |             |
| <ul> <li>General description of key HVAC systems</li> <li>Type and number of systems</li> <li>Redundancy</li> <li>Control strategies</li> <li>Power requirements and fuel types</li> <li>Operating characteristics</li> <li>Nominal operating conditions.</li> </ul>       |             |
| <ul> <li>General description of key electrical systems</li> <li>Type and number of systems</li> <li>Redundancy</li> <li>Control strategies</li> <li>Power requirements and fuel types</li> <li>Operating characteristics</li> <li>Nominal operating conditions.</li> </ul> |             |

## Appendix D: Energy Management Practices Survey

Request the Site Lead to complete the following Energy Management Practices Survey to identify energy policies implemented at the data center.

 Does your organization have a written CEO- or Board-approved policy that includes reducing energy consumption?

□ No □ Yes □ Unknown or no answer

 Does your company/agency have a formal written energy management plan that is updated at least every two years?

□ No □ Yes □ Unknown or no answer

- Does your company/agency have a corporate or facility energy manager position?

   No
   Yes
- Do the duties of manager include finding and implementing ways of reducing the energy consumption?

□ No □ Yes

- In the last two years, have there been any corporate/agency or facility mandates to reduce energy consumption by a targeted percentage or amount?
   No 
   Yes
   What was the percentage?
   %
- How many employees does the data center employ? \_\_\_\_\_
- Does the annual performance rating for the data center manager include a component with energy reduction goals that influence bonuses?

   No
   Yes
   Unknown
- In the last two years, have there been any projects in your data center to reduce energy consumption or projects that included a component aimed at reducing or managing energy?

□ No □ Yes

• Were those projects a result of (check all that apply):

□ New construction

- □ As a consequence of updating the data center
- □ A project focusing almost entirely on energy use

On what systems were those projects focused?\_\_\_\_\_

- When you purchase new or replace equipment at the data center, does the life cycle cost, including energy use, affect what is purchased?
  - □ Always
  - □ Usually
  - Sometimes
  - □ Occasionally
  - □ Never
  - 🗆 Unknown
- In managing operations and maintenance, do you analyze energy use trends to identify needed changes to operations or maintenance practices to reduce energy use?
   NO □ Yes
- Which financial methods does your firm typically use to evaluate energy efficiency improvements?
  - Initial Cost
  - $\hfill\square$  Simple payback
  - □ Internal rate of return
  - □ Life cycle cost
  - Other (please explain) \_\_\_\_\_\_
  - $\square$  Unknown
- What payback *(length of time)* do you normally require in order to consider an energy investment cost effective?

□\_\_\_\_\_ (Years)

• What rate of return do you normally require in order to consider an energy investment cost effective?

□\_\_\_\_%

• What discount rate do you normally use in determining the life-cycle costs of various equipment options?

□\_\_\_\_%

# Appendix E: Target System List (Assessment Scope)

The three Primary Systems are IT-Equipment, HVAC Systems, and Electrical Systems. Each Primary System, in turn, consists of key sub-systems as shown below. Check the selected subsystems for the Assessment.

| Systems             | Target<br>System(s) |
|---------------------|---------------------|
| IT-Equipment        |                     |
| Servers             |                     |
| Storage             |                     |
| Networking          |                     |
| HVAC Systems        |                     |
| Air Management      |                     |
| Cooling Systems     |                     |
| Chilled-Water Plant |                     |
| Electrical Systems  |                     |
| UPS                 |                     |
| PDU                 |                     |
| Lighting            |                     |
| Onsite generation   |                     |

# **Appendix F: Summary Energy Savings**

| Energy Savings Opportunity Summary |                                  |  |  |  |  |
|------------------------------------|----------------------------------|--|--|--|--|
|                                    | Impact                           |  |  |  |  |
| Primary System Opportunity         | \$/yr kWh/yr Cost Payback<br>yrs |  |  |  |  |
| IT-Equipment                       |                                  |  |  |  |  |
| HVAC Systems                       |                                  |  |  |  |  |
| Electrical Systems                 |                                  |  |  |  |  |
| Total                              |                                  |  |  |  |  |

# Appendix G: Detailed Energy Savings

|   | Energy Savings Opportunity for Primary System:  |        |        |      |                |                    |
|---|---|--------|--------|------|----------------|--------------------|
|   | (One table for each Primary System: IT-Equipment, HVAC Systems, and Electrical Systems) |        |        |      |                |                    |
|   |   | Impact |        |      |                |                    |
| # | Opportunity   | \$/yr  | kWh/yr | Cost | Payback<br>yrs | N,M,L <sup>1</sup> |
| 1 |   |        |        |      |                |                    |
| 2 |   |        |        |      |                |                    |
| 3 |   |        |        |      |                |                    |
| 4 |   |        |        |      |                |                    |
| 5 |   |        |        |      |                |                    |
| : |   |        |        |      |                |                    |
|   | Total   |        |        |      |                |                    |

Footnote 1:

N = Near-Term

M = Medium-Term

L = Long-Term

# Appendix H: Identified Actions

| Identified Actions for Primary System: |             |           |  |  |
|--|-------------|-----------|--|--|
| #                                      | Opportunity | Action(s) |  |  |
| 1                                      |             |           |  |  |
| 2                                      |             |           |  |  |
| 3                                      |             |           |  |  |
| 4                                      |             |           |  |  |
| 5                                      |             |           |  |  |
| :                                      |             |           |  |  |

# Appendix I: Assessment Participants (attendance)

|         | Location           |       |
|---------|--------------------|-------|
|         | Assessment Date(s) |       |
| Address | Phone              | email |
|         |                    |       |
|         |                    |       |
|         |                    |       |
|         |                    |       |
|         |                    |       |
|         |                    |       |
|         |                    |       |
|         |                    |       |
|         |                    |       |
|         |                    |       |
|         |                    |       |
|         |                    |       |
|         |                    |       |
|         |                    |       |
|         |                    |       |
|         |                    |       |
|         | Address            |       |

# Appendix J: Assessment Evaluation

| Data Center Owner  |                           | Location                 |                 |  |
|--|---------------------------|--------------------------|-----------------|--|
| Facility Name  |                           | Assessment Date(s)       |                 |  |
| Individual Evalu   | uation Summary (5 highe   | st/likely/good, 1 Lowest | /unlikely/poor) |  |
| The program increased my understanding of my data center systems.<br>Comments: |                           |                          |                 |  |
| The program and inform<br>Comments:  | mation learned will be us | seful to me.             |                 |  |
| Will you use the DOE Tool Suite?<br>Comments:                                  |                           |                          |                 |  |
| The agenda was approp<br>Comments:   | priate.                   |                          |                 |  |
| The Assessor was know<br>Comments:   | vledgeable and added va   | lue.                     |                 |  |
| Overall Comments and   | Suggestions:              |                          |                 |  |

### Resources

Air Management Tool: <u>https://datacenters.lbl.gov/tools/5-data-center-air-management-tool-featured</u>

Assessment Report Template and Example Report: <u>https://datacenters.lbl.gov/tools/7-energy-</u> efficiency-assessment-report

Assessment Worksheets: https://datacenters.lbl.gov/tools/3-energy-assessment-worksheets

Center of Expertise for Energy Efficiency in Data Centers: <u>http://datacenters.lbl.gov</u>

Data Center Optimization Initiative (DCOI) https://datacenters.cio.gov/

DC Pro Tools: <u>https://datacenters.lbl.gov/dcpro</u>

DOE Tool Suite: <u>http://datacenters.lbl.gov/tools</u>

DCEP Training Program: <a href="http://datacenters.lbl.gov/dcep">http://datacenters.lbl.gov/dcep</a>

Koomey, J, 2010, Growth in Data Center Electricity Use 2005 to 2010: <u>http://www.missioncriticalmagazine.com/ext/resources/MC/Home/Files/PDFs/Koomey\_Data\_Center.pdf</u>

Shehabi et al., 2016, United States Data Center Energy Usage Report: https://datacenters.lbl.gov/sites/all/files/DataCenterEnergyReport2016\_0.pdf